CONTENTS

Unit I—AN OVERVIEW OF CAPITAL AND COMMODITIES MARKETS:

Primary Market, Secondary Market (Stock Market), Depositaries, Private placements of shares / Buy back of shares, Issue mechanism. Meaning of commodities and Commodities market, differences between stock market and commodities market.

Unit II—STOCK MARKET:

History, Membership, Organisation, Governing body, Functions of stock Exchange, on line trading, role of SEBI, Recognized Stock Exchanges in India (brief discussion of NSE and BSE). Derivatives on stocks: meaning, types (in brief).

Unit III—TRADING IN STOCK MARKET:

Patterns of Trading & Settlement – Speculations – Types of Speculations – Activities of Brokers – Broker Charges – Settlement Procedure, National Securities Depositary Ltd. (NSDL), Central Securities Depositary Ltd. (CSDL) (in brief).

Unit IV—COMMODITIES MARKET:

History, Membership, objectives, functions of commodities exchange, Organisation and role of a commodity exchange, Governing Body, Types of Transactions to be dealt in commodity Market –physical market, Futures market - Differences between Physical & Future Market, options on commodities exchanges.

Unit V—TRADING IN COMMODITY MARKETS:

Patterns of Trading & Settlement, Efficiency of Commodity Markets - Size of Commodity

Chapter—1 An overview of capital and commodities markets

Chapter—2 Primary Market

Chapter—3 Issue Management

Chapter—4 Private Placement

Chapter—5 Derivatives

Chapter—6 Stock Market Chapter—7 Trading in Stock Market

Chapter—8 Securities and Exchange Board of India

Chapter—9 Depository and custodial services

Chapter—10 Commodities Market